

UNITED STATES DISTRICT COURT
SOUTHERN DISTRICT OF NEW YORK

08 CIV 6672

08 JUL 31 PM 5:59

Civil Action No. 08-00000
U.S.D.C. S.D.N.Y.

ALAN PINTO,

Plaintiff,

v.

Document electronically filed

NOVARTIS PHARMACEUTICALS
CORPORATION, NOVARTIS PHARMA
GMBH, and NOVARTIS AG,

Defendants.

**RULE 7.1 DISCLOSURE STATEMENT
OF DEFENDANT NOVARTIS
PHARMACEUTICALS CORPORATION**

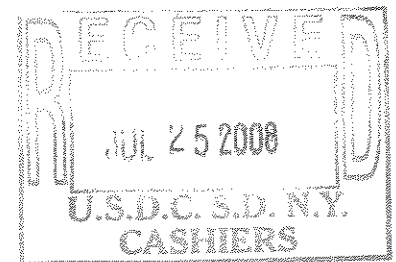
Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, Novartis Pharmaceuticals Corporation ("NPC"), makes the following disclosure of NPC's parent corporations and publicly held companies that own 10% or more of NPC's stock:

Parent Companies:

- (1) Novartis Finance Corporation, a New York corporation;
- (2) Novartis Corporation, a New York corporation;
- (3) Novartis Holding, AG, a Swiss company; and
- (4) Novartis AG, a Swiss company, publicly traded on the New York Stock Exchange.

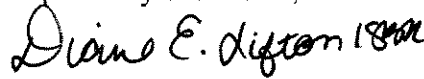
Publicly held companies owning more than 10% of NPC stock:

- (1) Novartis AG indirectly owns a 100% interest in NPC.



Dated: July 25, 2008

Respectfully submitted,

A handwritten signature in black ink that reads "Diane E. Lifton" followed by a date "18 Jul".

/s/ Diane E. Lifton

Diane E. Lifton (DL-9673)

GIBBONS PC

One Pennsylvania Plaza, 37th Floor

New York, NY 10119-3701

Telephone: 212-613-2000

Fax: 212-290-2018

Of Counsel

SPRIGGS & HOLLINGSWORTH

1350 I Street, N.W.

Washington, DC 20005

Telephone: 202-898-5800

Fax: 202-682-1639

*Attorneys for Novartis Pharmaceuticals
Corporation*

CERTIFICATE OF SERVICE

I HEREBY CERTIFY that on July 25, 2008, Defendant Novartis Pharmaceutical's Rule 7.1 Disclosure Statement was electronically filed with the Court by using the CM/ECF system and served by electronic mail upon the following:

Christopher A. Seeger
David R. Buchanan
Christopher M. Van de Kieft
SEEGER WEISS, LLP
One William Street
New York, NY 10004
Attorneys for Plaintiff



/s/ Ethan D. Stein
Ethan D. Stein